

## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

O I P E  
MAY 29 2007  
PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: May 23, 2007 Name: Kent E. Genin Signature: *Kent E. Genin*

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appl. of: J. Overton et al.

Appl. No.: 10/646,350

Filed: August 22, 2003

For: System And Method For Establishing And  
Retrieving Data Based On Global Indices

Attorney Docket No: 11958/60

Examiner: Unknown

Art Unit: 3629

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

Transmittal (in duplicate); Supplemental Information Disclosure Statement; PTO Form 1449; One Copy of Reference B9

Return Receipt Postcard

## Fee calculation:

No additional fee is required.

Small Entity.

An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).

A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_.)

An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity	
					Rate	Add'l Fee	or	Rate
Total		Minus			x \$25=			x \$50=
Indep.		Minus			x 100=			x \$200=
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=
					Total	\$		Total

## Fee payment:

A check in the amount of \$\_\_\_\_\_ is enclosed.

Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.

Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

*Kent E. Genin*  
Kent E. Genin (Reg. No. 37,834)

Date

BRINKS HOFER GILSON & LIONE  
NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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on

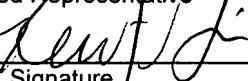
May 23, 2007

Date of Deposit

Kent E. Genin

Name of applicant, assignee or

Registered Representative



Signature



Date of Signature



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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

### U.S. PATENTS

<u>Patent No.</u>	<u>Date</u>	<u>Inventor</u>
5,918,214	06/1999	Perkowski
6,154,738	11/2000	Call
6,438,652	08/2002	Jordan et al.
6,463,454	10/2002	Lumelsky et al.
6,466,980	10/2002	Lumelsky et al.
6,578,068	06/2003	Bowman-Amuah
6,711,408	03/2004	Raith
7,103,640	09/05/06	Overton et al.

OTHER ART

Copy of Communication relating to the results of the Partial International Search Report for PCT Application No. PCT/US01/18013 dated April 15, 2002

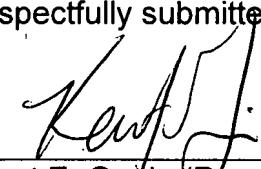
Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

May 23, 2007  
Date

  
Kent E. Genin (Reg. No. 37,834)



FORM PTO-146 U.S. PATENT & TRADEMARK OFFICE	SERIAL NO. 10/646,350	CASE NO. 11958/60
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	FILING DATE August 22, 2003	GROUP ART UNIT 3629
APPLICANT(S): J. Overton et al.		

**REFERENCE DESIGNATION**      **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	B1 5,918,214	06/1999	Perkowski		
	B2 6,154,738	11/2000	Call		
	B3 6,438,652	08/2002	Jordan et al.		
	B4 6,463,454	10/2002	Lumelsky et al.		
	B5 6,466,980	10/2002	Lumelsky et al.		
	B6 6,578,068	06/2003	Bowman-Amuah		
	B7 6,711,408	03/2004	Raith		
	B8 7,103,640	09/05/06	Overton et al.		

EXAMINER INITIAL	<b>OTHER ART – NON PATENT LITERATURE DOCUMENTS</b> <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)</small>	
	B9	Copy of Communication relating to the results of the Partial International Search Report for PCT Application No. PCT/US01/18013 dated April 15, 2002

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.